

**Application for Recognition of Exemption
 Under Section 501(c)(3) of the Internal Revenue Code**

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form1023 for instructions and the latest information.**

OMB No. 1545-0056
Note: If exempt status is approved, this application will be open for public inspection.

Use the instructions to complete this application and for a definition of all **bold** items. For additional help, call IRS Exempt Organizations Customer Account Services toll-free at 1-877-829-5500. Visit our website at www.irs.gov for forms and publications. If the required information and documents are not submitted with payment of the appropriate user fee, the application may be returned to you.

Attach additional sheets to this application if you need more space to answer fully. Put your name and EIN on each sheet and identify each answer by Part and line number. Complete Parts I – XI of Form 1023 and submit only those Schedules (A through H) that apply to you.

Part I Identification of Applicant

1 Full name of organization (exactly as it appears in your organizing document)		2 c/o Name (if applicable)	
RIVER OF GRASS UNITARIAN UNIVERSALIST CONGREGATION CAPITAL FOUNDATION INC		G H Kip Barkley	
3 Mailing address (Number and street) (see instructions)		Room/Suite	4 Employer Identification Number (EIN)
11850 W State Road 84		Suite 1	27-5286663
City or town, state or country, and ZIP + 4		5 Month the annual accounting period ends (01 – 12)	
Davie, FL 33325-3806		12	
6 Primary contact (officer, director, trustee, or authorized representative)		b Phone: 954.829.2194	
a Name:		c Fax: (optional)	
G. H. Kip Barkley			
7 Are you represented by an authorized representative, such as an attorney or accountant? If "Yes," provide the authorized representative's name, and the name and address of the authorized representative's firm. Include a completed Form 2848, <i>Power of Attorney and Declaration of Representative</i> , with your application if you would like us to communicate with your representative.		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
8 Was a person who is not one of your officers, directors, trustees, employees, or an authorized representative listed in line 7, paid, or promised payment, to help plan, manage, or advise you about the structure or activities of your organization, or about your financial or tax matters? If "Yes," provide the person's name, the name and address of the person's firm, the amounts paid or promised to be paid, and describe that person's role.		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
9a Organization's website: www.RiverOfGrassUU.org/foundation.html			
b Organization's email: (optional) Foundation@RiverOfGrassUU.org			
10 Certain organizations are not required to file an information return (Form 990 or Form 990-EZ). If you are granted tax-exemption, are you claiming to be excused from filing Form 990 or Form 990-EZ? If "Yes," explain. See the instructions for a description of organizations not required to file Form 990 or Form 990-EZ.		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
11 Date incorporated if a corporation, or formed, if other than a corporation. (MM/DD/YYYY)		03 / 09 / 2011	
12 Were you formed under the laws of a foreign country ? If "Yes," state the country.		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

Part II Organizational Structure

You must be a corporation (including a limited liability company), an unincorporated association, or a trust to be tax exempt. See instructions. **DO NOT file this form unless you can check "Yes" on lines 1, 2, 3, or 4.**

- 1 Are you a **corporation**? If "Yes," attach a copy of your articles of incorporation showing **certification of filing** with the appropriate state agency. Include copies of any amendments to your articles and be sure they also show state filing certification. **Yes** **No**
- 2 Are you a **limited liability company (LLC)**? If "Yes," attach a copy of your articles of organization showing certification of filing with the appropriate state agency. Also, if you adopted an operating agreement, attach a copy. Include copies of any amendments to your articles and be sure they show state filing certification. Refer to the instructions for circumstances when an LLC should not file its own exemption application. **Yes** **No**
- 3 Are you an **unincorporated association**? If "Yes," attach a copy of your articles of association, constitution, or other similar organizing document that is dated and includes at least two signatures. Include signed and dated copies of any amendments. **Yes** **No**
- 4a Are you a **trust**? If "Yes," attach a signed and dated copy of your trust agreement. Include signed and dated copies of any amendments. **Yes** **No**
- b Have you been funded? If "No," explain how you are formed without anything of value placed in trust. **Yes** **No**
- 5 Have you adopted **bylaws**? If "Yes," attach a current copy showing date of adoption. If "No," explain how your officers, directors, or trustees are selected. **Yes** **No**

Part III Required Provisions in Your Organizing Document

The following questions are designed to ensure that when you file this application, your organizing document contains the required provisions to meet the organizational test under section 501(c)(3). Unless you can check the boxes in both lines 1 and 2, your organizing document does not meet the organizational test. **DO NOT file this application until you have amended your organizing document.** Submit your original and amended organizing documents (showing state filing certification if you are a corporation or an LLC) with your application.

- 1 Section 501(c)(3) requires that your organizing document state your exempt purpose(s), such as charitable, religious, educational, and/or scientific purposes. Check the box to confirm that your organizing document meets this requirement. Describe specifically where your organizing document meets this requirement, such as a reference to a particular article or section in your organizing document. Refer to the instructions for exempt purpose language.
 Location of Purpose Clause (Page, Article, and Paragraph): Page 1, ARTICLE II
- 2a Section 501(c)(3) requires that upon dissolution of your organization, your remaining assets must be used exclusively for exempt purposes, such as charitable, religious, educational, and/or scientific purposes. Check the box on line 2a to confirm that your organizing document meets this requirement by express provision for the distribution of assets upon dissolution. If you rely on state law for your dissolution provision, do not check the box on line 2a and go to line 2c.
 b If you checked the box on line 2a, specify the location of your dissolution clause (Page, Article, and Paragraph). Do not complete line 2c if you checked box 2a. Page 2, ARTICLE XI
- c See the instructions for information about the operation of state law in your particular state. Check this box if you rely on operation of state law for your dissolution provision and indicate the state:

Part IV Narrative Description of Your Activities

Using an attachment, describe your *past, present, and planned* activities in a narrative. If you believe that you have already provided some of this information in response to other parts of this application, you may summarize that information here and refer to the specific parts of the application for supporting details. You may also attach representative copies of newsletters, brochures, or similar documents for supporting details to this narrative. Remember that if this application is approved, it will be open for public inspection. Therefore, your narrative description of activities should be thorough and accurate. Refer to the instructions for information that must be included in your description.

Part V Compensation and Other Financial Arrangements With Your Officers, Directors, Trustees, Employees, and Independent Contractors

- 1a List the names, titles, and mailing addresses of all of your officers, directors, and trustees. For each person listed, state their total annual **compensation**, or proposed compensation, for all services to the organization, whether as an officer, employee, or other position. Use actual figures, if available. Enter "none" if no compensation is or will be paid. If additional space is needed, attach a separate sheet. Refer to the instructions for information on what to include as compensation.

Name	Title	Mailing address	Compensation amount (annual actual or estimated)
Ed Lewis	President	12750 SW 4th Court Pembroke Pines, FL 33027	\$0.00
Kathy Jens-Rochow	Vice President	1420 SW 13th St Fort Lauderdale, FL 33312	\$0.00
Lelissa Buehler	Secretary	15722 NW 15 Ct Pembroke Pines, FL 33028	\$0.00
G H Kip Barkley	Treasurer	1100 SE 5 Ct #24 Pompano BEach, FL 33060	\$0.00

Part V Compensation and Other Financial Arrangements With Your Officers, Directors, Trustees, Employees, and Independent Contractors (Continued)

b List the names, titles, and mailing addresses of each of your five highest compensated employees who receive or will receive compensation of more than \$50,000 per year. Use the actual figure, if available. Refer to the instructions for information on what to include as compensation. Do not include officers, directors, or trustees listed in line 1a.

Name	Title	Mailing address	Compensation amount (annual actual or estimated)
None			\$0.00

c List the names, names of businesses, and mailing addresses of your five highest compensated independent contractors that receive or will receive compensation of more than \$50,000 per year. Use the actual figure, if available. Refer to the instructions for information on what to include as compensation.

Name	Title	Mailing address	Compensation amount (annual actual or estimated)
None			\$0.00

The following "Yes" or "No" questions relate to *past, present, or planned* relationships, transactions, or agreements with your officers, directors, trustees, highest compensated employees, and highest compensated independent contractors listed in lines 1a, 1b, and 1c.

2a Are any of your officers, directors, or trustees **related** to each other through **family** or **business relationships**? If "Yes," identify the individuals and explain the relationship. Yes No

b Do you have a business relationship with any of your officers, directors, or trustees other than through their position as an officer, director, or trustee? If "Yes," identify the individuals and describe the business relationship with each of your officers, directors, or trustees. Yes No

c Are any of your officers, directors, or trustees related to your highest compensated employees or highest compensated independent contractors listed on lines 1b or 1c through family or business relationships? If "Yes," identify the individuals and explain the relationship. Yes No

3a For each of your officers, directors, trustees, highest compensated employees, and highest compensated independent contractors listed on lines 1a, 1b, or 1c, attach a list showing their name, qualifications, average hours worked, and duties.

b Do any of your officers, directors, trustees, highest compensated employees, and highest compensated independent contractors listed on lines 1a, 1b, or 1c receive compensation from any other organizations, whether tax exempt or taxable, that are related to you through **common control**? If "Yes," identify the individuals, explain the relationship between you and the other organization, and describe the compensation arrangement. Yes No

4 In establishing the compensation for your officers, directors, trustees, highest compensated employees, and highest compensated independent contractors listed on lines 1a, 1b, and 1c, the following practices are recommended, although they are not required to obtain exemption. Answer "Yes" to all the practices you use.

- a** Do you or will the individuals that approve compensation arrangements follow a conflict of interest policy? Yes No
- b** Do you or will you approve compensation arrangements in advance of paying compensation? Yes No
- c** Do you or will you document in writing the date and terms of approved compensation arrangements? Yes No

Part V Compensation and Other Financial Arrangements With Your Officers, Directors, Trustees, Employees, and Independent Contractors (Continued)

- d Do you or will you record in writing the decision made by each individual who decided or voted on compensation arrangements? Yes No
- e Do you or will you approve compensation arrangements based on information about compensation paid by **similarly situated** taxable or tax-exempt organizations for similar services, current compensation surveys compiled by independent firms, or actual written offers from similarly situated organizations? Refer to the instructions for Part V, lines 1a, 1b, and 1c, for information on what to include as compensation. Yes No
- f Do you or will you record in writing both the information on which you relied to base your decision and its source? Yes No
- g If you answered "No" to any item on lines 4a through 4f, describe how you set compensation that is **reasonable** for your officers, directors, trustees, highest compensated employees, and highest compensated independent contractors listed in Part V, lines 1a, 1b, and 1c.
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- 5a Have you adopted a **conflict of interest policy** consistent with the sample conflict of interest policy in Appendix A to the instructions? If "Yes," provide a copy of the policy and explain how the policy has been adopted, such as by resolution of your governing board. If "No," answer lines 5b and 5c. Yes No
- b What procedures will you follow to assure that persons who have a conflict of interest will not have influence over you for setting their own compensation?
- c What procedures will you follow to assure that persons who have a conflict of interest will not have influence over you regarding business deals with themselves?
Note: A conflict of interest policy is recommended though it is not required to obtain exemption. Hospitals, see Schedule C, Section I, line 14.
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- 6a Do you or will you compensate any of your officers, directors, trustees, highest compensated employees, and highest compensated independent contractors listed in lines 1a, 1b, or 1c through **non-fixed payments**, such as discretionary bonuses or revenue-based payments? If "Yes," describe all non-fixed compensation arrangements, including how the amounts are determined, who is eligible for such arrangements, whether you place a limitation on total compensation, and how you determine or will determine that you pay no more than reasonable compensation for services. Refer to the instructions for Part V, lines 1a, 1b, and 1c, for information on what to include as compensation. Yes No
- b Do you or will you compensate any of your employees, other than your officers, directors, trustees, or your five highest compensated employees who receive or will receive compensation of more than \$50,000 per year, through non-fixed payments, such as discretionary bonuses or revenue-based payments? If "Yes," describe all non-fixed compensation arrangements, including how the amounts are or will be determined, who is or will be eligible for such arrangements, whether you place or will place a limitation on total compensation, and how you determine or will determine that you pay no more than reasonable compensation for services. Refer to the instructions for Part V, lines 1a, 1b, and 1c, for information on what to include as compensation. Yes No
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- 7a Do you or will you purchase any goods, services, or assets from any of your officers, directors, trustees, highest compensated employees, or highest compensated independent contractors listed in lines 1a, 1b, or 1c? If "Yes," describe any such purchase that you made or intend to make, from whom you make or will make such purchases, how the terms are or will be negotiated at **arm's length**, and explain how you determine or will determine that you pay no more than **fair market value**. Attach copies of any written contracts or other agreements relating to such purchases. Yes No
- b Do you or will you sell any goods, services, or assets to any of your officers, directors, trustees, highest compensated employees, or highest compensated independent contractors listed in lines 1a, 1b, or 1c? If "Yes," describe any such sales that you made or intend to make, to whom you make or will make such sales, how the terms are or will be negotiated at **arm's length**, and explain how you determine or will determine you are or will be paid at least fair market value. Attach copies of any written contracts or other agreements relating to such sales. Yes No
-
- 8a Do you or will you have any leases, contracts, loans, or other agreements with your officers, directors, trustees, highest compensated employees, or highest compensated independent contractors listed in lines 1a, 1b, or 1c? If "Yes," provide the information requested in lines 8b through 8f. Yes No
- b Describe any written or oral arrangements that you made or intend to make.
- c Identify with whom you have or will have such arrangements.
- d Explain how the terms are or will be negotiated at **arm's length**.
- e Explain how you determine you pay no more than fair market value or you are paid at least fair market value.
- f Attach copies of any signed leases, contracts, loans, or other agreements relating to such arrangements.
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- 9a Do you or will you have any leases, contracts, loans, or other agreements with any organization in which any of your officers, directors, or trustees are also officers, directors, or trustees, or in which any individual officer, director, or trustee owns more than a 35% interest? If "Yes," provide the information requested in lines 9b through 9f. Yes No

Part V Compensation and Other Financial Arrangements With Your Officers, Directors, Trustees, Employees, and Independent Contractors (Continued)

- b Describe any written or oral arrangements you made or intend to make.
- c Identify with whom you have or will have such arrangements.
- d Explain how the terms are or will be negotiated at arm's length.
- e Explain how you determine or will determine you pay no more than fair market value or that you are paid at least fair market value.
- f Attach a copy of any signed leases, contracts, loans, or other agreements relating to such arrangements.

Part VI Your Members and Other Individuals and Organizations That Receive Benefits From You

The following "Yes" or "No" questions relate to goods, services, and funds you provide to individuals and organizations as part of your activities. Your answers should pertain to *past*, *present*, and *planned* activities. See instructions.

- 1a In carrying out your exempt purposes, do you provide goods, services, or funds to individuals? If "Yes," describe each program that provides goods, services, or funds to individuals. Yes No
- b In carrying out your exempt purposes, do you provide goods, services, or funds to organizations? If "Yes," describe each program that provides goods, services, or funds to organizations. Yes No
- 2 Do any of your programs limit the provision of goods, services, or funds to a specific individual or group of specific individuals? For example, answer "Yes," if goods, services, or funds are provided only for a particular individual, your members, individuals who work for a particular employer, or graduates of a particular school. If "Yes," explain the limitation and how recipients are selected for each program. Yes No
- 3 Do any individuals who receive goods, services, or funds through your programs have a family or business relationship with any officer, director, trustee, or with any of your highest compensated employees or highest compensated independent contractors listed in Part V, lines 1a, 1b, and 1c? If "Yes," explain how these related individuals are eligible for goods, services, or funds. Yes No

Part VII Your History

The following "Yes" or "No" questions relate to your history. See instructions.

- 1 Are you a **successor** to another organization? Answer "Yes," if you have taken or will take over the activities of another organization; you took over 25% or more of the fair market value of the net assets of another organization; or you were established upon the conversion of an organization from for-profit to nonprofit status. If "Yes," complete Schedule G. Yes No
- 2 Are you submitting this application more than 27 months after the end of the month in which you were legally formed? If "Yes," complete Schedule E. Yes No

Part VIII Your Specific Activities

The following "Yes" or "No" questions relate to specific activities that you may conduct. Check the appropriate box. Your answers should pertain to *past*, *present*, and *planned* activities. See instructions.

- 1 Do you support or oppose candidates in **political campaigns** in any way? If "Yes," explain. Yes No
- 2a Do you attempt to **influence legislation**? If "Yes," explain how you attempt to influence legislation and complete line 2b. If "No," go to line 3a. Yes No
- b Have you made or are you making an **election** to have your legislative activities measured by expenditures by filing Form 5768? If "Yes," attach a copy of the Form 5768 that was already filed or attach a completed Form 5768 that you are filing with this application. If "No," describe whether your attempts to influence legislation are a substantial part of your activities. Include the time and money spent on your attempts to influence legislation as compared to your total activities. Yes No
- 3a Do you or will you operate bingo or **gaming** activities? If "Yes," describe who conducts them, and list all revenue received or expected to be received and expenses paid or expected to be paid in operating these activities. **Revenue and expenses** should be provided for the time periods specified in Part IX, Financial Data. Yes No
- b Do you or will you enter into contracts or other agreements with individuals or organizations to conduct bingo or gaming for you? If "Yes," describe any written or oral arrangements that you made or intend to make, identify with whom you have or will have such arrangements, explain how the terms are or will be negotiated at arm's length, and explain how you determine or will determine you pay no more than fair market value or you will be paid at least fair market value. Attach copies or any written contracts or other agreements relating to such arrangements. Yes No
- c List the states and local jurisdictions, including Indian Reservations, in which you conduct or will conduct gaming or bingo.

Part VIII Your Specific Activities (Continued)

4a Do you or will you undertake **fundraising**? If "Yes," check all the fundraising programs you do or will conduct. See instructions. **Yes** **No**

- mail solicitations
- email solicitations
- personal solicitations
- vehicle, boat, plane, or similar donations
- foundation grant solicitations
- phone solicitations
- accept donations on your website
- receive donations from another organization's website
- government grant solicitations
- Other

Attach a description of each fundraising program.

b Do you or will you have written or oral contracts with any individuals or organizations to raise funds for you? If "Yes," describe these activities. Include all revenue and expenses from these activities and state who conducts them. Revenue and expenses should be provided for the time periods specified in Part IX, Financial Data. Also, attach a copy of any contracts or agreements. **Yes** **No**

c Do you or will you engage in fundraising activities for other organizations? If "Yes," describe these arrangements. Include a description of the organizations for which you raise funds and attach copies of all contracts or agreements. **Yes** **No**

d List all states and local jurisdictions in which you conduct fundraising. For each state or local jurisdiction listed, specify whether you fundraise for your own organization, you fundraise for another organization, or another organization fundraises for you.

e Do you or will you maintain separate accounts for any contributor under which the contributor has the right to advise on the use or distribution of funds? Answer "Yes" if the donor may provide advice on the types of investments, distributions from the types of investments, or the distribution from the donor's contribution account. If "Yes," describe this program, including the type of advice that may be provided and submit copies of any written materials provided to donors. **Yes** **No**

5 Are you **affiliated** with a governmental unit? If "Yes," explain. **Yes** **No**

6a Do you or will you engage in **economic development**? If "Yes," describe your program. **Yes** **No**

b Describe in full who benefits from your economic development activities and how the activities promote exempt purposes.

7a Do or will persons other than your employees or volunteers **develop** your facilities? If "Yes," describe each facility, the role of the developer, and any business or family relationship(s) between the developer and your officers, directors, or trustees. **Yes** **No**

b Do or will persons other than your employees or volunteers **manage** your activities or facilities? If "Yes," describe each activity and facility, the role of the manager, and any business or family relationship(s) between the manager and your officers, directors, or trustees. **Yes** **No**

c If there is a business or family relationship between any manager or developer and your officers, directors, or trustees, identify the individuals, explain the relationship, describe how contracts are negotiated at arm's length so that you pay no more than fair market value, and submit a copy of any contracts or other agreements.

8 Do you or will you enter into **joint ventures**, including partnerships or **limited liability companies** treated as partnerships, in which you share profits and losses with partners other than section 501(c)(3) organizations? If "Yes," describe the activities of these joint ventures in which you participate. **Yes** **No**

9a Are you applying for exemption as a childcare organization under section 501(k)? If "Yes," answer lines 9b through 9d. If "No," go to line 10. **Yes** **No**

b Do you provide childcare so that parents or caretakers of children you care for can be **gainfully employed** (see instructions)? If "No," explain how you qualify as a childcare organization described in section 501(k). **Yes** **No**

c Of the children for whom you provide childcare, are 85% or more of them cared for by you to enable their parents or caretakers to be gainfully employed (see instructions)? If "No," explain how you qualify as a childcare organization described in section 501(k). **Yes** **No**

d Are your services available to the general public? If "No," describe the specific group of people for whom your activities are available. Also, see the instructions and explain how you qualify as a childcare organization described in section 501(k). **Yes** **No**

10 Do you or will you publish, own, or have rights in music, literature, tapes, artworks, choreography, scientific discoveries, or other **intellectual property**? If "Yes," explain. Describe who owns or will own any copyrights, patents, or trademarks, whether fees are or will be charged, how the fees are determined, and how any items are or will be produced, distributed, and marketed. **Yes** **No**

Part VIII Your Specific Activities (Continued)

- 11** Do you or will you accept contributions of: real property; conservation easements; closely held securities; intellectual property such as patents, trademarks, and copyrights; works of music or art; licenses; royalties; automobiles, boats, planes, or other vehicles; or collectibles of any type? If "Yes," describe each type of contribution, any conditions imposed by the donor on the contribution, and any agreements with the donor regarding the contribution. Yes No
-
- 12a** Do you or will you operate in a **foreign country** or **countries**? If "Yes," answer lines 12b through 12d. If "No," go to line 13a. Yes No
- b** Name the foreign countries and regions within the countries in which you operate.
- c** Describe your operations in each country and region in which you operate.
- d** Describe how your operations in each country and region further your exempt purposes.
-
- 13a** Do you or will you make grants, loans, or other distributions to organization(s)? If "Yes," answer lines 13b through 13g. If "No," go to line 14a. Yes No
- b** Describe how your grants, loans, or other distributions to organizations further your exempt purposes.
- c** Do you have written contracts with each of these organizations? If "Yes," attach a copy of each contract. Yes No
- d** Identify each recipient organization and any **relationship** between you and the recipient organization.
- e** Describe the records you keep with respect to the grants, loans, or other distributions you make.
- f** Describe your selection process, including whether you do any of the following.
- (i)** Do you require an application form? If "Yes," attach a copy of the form. Yes No
- (ii)** Do you require a grant proposal? If "Yes," describe whether the grant proposal specifies your responsibilities and those of the grantee, obligates the grantee to use the grant funds only for the purposes for which the grant was made, provides for periodic written reports concerning the use of grant funds, requires a final written report and an accounting of how grant funds were used, and acknowledges your authority to withhold and/or recover grant funds in case such funds are, or appear to be, misused. Yes No
- g** Describe your procedures for oversight of distributions that assure you the resources are used to further your exempt purposes, including whether you require periodic and final reports on the use of resources.
-
- 14a** Do you or will you make grants, loans, or other distributions to foreign organizations? If "Yes," answer lines 14b through 14f. If "No," go to line 15. Yes No
- b** Provide the name of each foreign organization, the country and regions within a country in which each foreign organization operates, and describe any relationship you have with each foreign organization.
- c** Does any foreign organization listed in line 14b accept contributions earmarked for a specific country or specific organization? If "Yes," list all earmarked organizations or countries. Yes No
- d** Do your contributors know that you have ultimate authority to use contributions made to you at your discretion for purposes consistent with your exempt purposes? If "Yes," describe how you relay this information to contributors. Yes No
- e** Do you or will you make pre-grant inquiries about the recipient organization? If "Yes," describe these inquiries, including whether you inquire about the recipient's financial status, its tax-exempt status under the Internal Revenue Code, its ability to accomplish the purpose for which the resources are provided, and other relevant information. Yes No
- f** Do you or will you use any additional procedures to ensure that your distributions to foreign organizations are used in furtherance of your exempt purposes? If "Yes," describe these procedures, including site visits by your employees or compliance checks by impartial experts, to verify that grant funds are being used appropriately. Yes No

Part VIII Your Specific Activities (Continued)

- 15 Do you have a **close connection** with any organizations? If "Yes," explain. **Yes** **No**
- 16 Are you applying for exemption as a **cooperative hospital service organization** under section 501(e)? If "Yes," explain. **Yes** **No**
- 17 Are you applying for exemption as a **cooperative service organization of operating educational organizations** under section 501(f)? If "Yes," explain. **Yes** **No**
- 18 Are you applying for exemption as a **charitable risk pool** under section 501(n)? If "Yes," explain. **Yes** **No**
- 19 Do you or will you operate a **school**? If "Yes," complete Schedule B. Answer "Yes," whether you operate a school as your main function or as a secondary activity. **Yes** **No**
- 20 Is your main function to provide **hospital or medical care**? If "Yes," complete Schedule C. **Yes** **No**
- 21 Do you or will you provide **low-income housing** or housing for the **elderly or handicapped**? If "Yes," complete Schedule F. **Yes** **No**
- 22 Do you or will you provide scholarships, fellowships, educational loans, or other educational grants to individuals, including grants for travel, study, or other similar purposes? If "Yes," complete Schedule H. **Yes** **No**
- Note: Private foundations** may use Schedule H to request advance approval of individual grant procedures.

Part IX Financial Data

For purposes of this schedule, years in existence refer to completed tax years.

1. If in existence less than 5 years, complete the statement for each year in existence and provide projections of your likely revenues and expenses based on a reasonable and good faith estimate of your future finances for a total of:
 - a. Three years of financial information if you have not completed one tax year, or
 - b. Four years of financial information if you have completed one tax year. See instructions.
2. If in existence 5 or more years, complete the schedule for the most recent 5 tax years. You will need to provide a separate statement that includes information about the most recent 5 tax years because the data table in Part IX has not been updated to provide for a 5th year. See instructions.

A. Statement of Revenues and Expenses

	Type of revenue or expense	Current tax year		3 prior tax years or 2 succeeding tax years				
		(a) From To	Jan Dec	(b) From To	Jan Dec	(c) From To	(d) From To	(e) Provide Total for (a) through (d)
Revenues	1 Gifts, grants, and contributions received (do not include unusual grants)		1,350		73	See Attachment 7		1,707
	2 Membership fees received							
	3 Gross investment income		19,009		19,630			81,647
	4 Net unrelated business income							
	5 Taxes levied for your benefit							
	6 Value of services or facilities furnished by a governmental unit without charge (not including the value of services generally furnished to the public without charge)							
	7 Any revenue not otherwise listed above or in lines 9-12 below (attach an itemized list)		20,359		19,703			83,352
	8 Total of lines 1 through 7							
	9 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to your exempt purposes (attach itemized list)							
	10 Total of lines 8 and 9		20,359		19,703			83,352
	11 Net gain or loss on sale of capital assets (attach schedule and see instructions)							
	12 Unusual grants							
	13 Total Revenue Add lines 10 through 12		20,359		19,703			83,352
Expenses	14 Fundraising expenses							
	15 Contributions, gifts, grants, and similar amounts paid out (attach an itemized list)							
	16 Disbursements to or for the benefit of members (attach an itemized list)		114		105			
	17 Compensation of officers, directors, and trustees							
	18 Other salaries and wages							
	19 Interest expense							
	20 Occupancy (rent, utilities, etc.)							
	21 Depreciation and depletion							
	22 Professional fees		5,421		5,295			
	23 Any expense not otherwise classified, such as program services (attach itemized list)							
	24 Total Expenses Add lines 14 through 23		5,535		5,400			

Part IX Financial Data (Continued) SEE ATTACHMENT 6

B. Balance Sheet (for your most recently completed tax year)

Year End: 2019
(Whole dollars)

Assets			
1	Cash	1	6,292
2	Accounts receivable, net	2	
3	Inventories	3	
4	Bonds and notes receivable (attach an itemized list)	4	450,454
5	Corporate stocks (attach an itemized list)	5	320,541
6	Loans receivable (attach an itemized list)	6	
7	Other investments (attach an itemized list)	7	
8	Depreciable and depletable assets (attach an itemized list)	8	
9	Land	9	
10	Other assets (attach an itemized list)	10	
11	Total Assets (add lines 1 through 10)	11	777,287
Liabilities			
12	Accounts payable	12	
13	Contributions, gifts, grants, etc. payable	13	
14	Mortgages and notes payable (attach an itemized list)	14	
15	Other liabilities (attach an itemized list)	15	
16	Total Liabilities (add lines 12 through 15)	16	0
Fund Balances or Net Assets			
17	Total fund balances or net assets	17	777,287
18	Total Liabilities and Fund Balances or Net Assets (add lines 16 and 17)	18	777,287
19	Have there been any substantial changes in your assets or liabilities since the end of the period shown above? If "Yes," explain.		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

Part X Public Charity Status

Part X is designed to classify you as an organization that is either a **private foundation** or a **public charity**. Public charity status is a more favorable tax status than private foundation status. If you are a private foundation, Part X is designed to further determine whether you are a **private operating foundation**. See instructions.

1a Are you a private foundation? If "Yes," go to line 1b. If "No," go to line 5 and proceed as instructed. If you are unsure, see the instructions. Yes No

b As a private foundation, section 508(e) requires special provisions in your organizing document in addition to those that apply to all organizations described in section 501(c)(3). Check the box to confirm that your organizing document meets this requirement, whether by express provision or by reliance on operation of state law. Attach a statement that describes specifically where your organizing document meets this requirement, such as a reference to a particular article or section in your organizing document or by operation of state law. See the instructions, including Appendix B, for information about the special provisions that need to be contained in your organizing document. Go to line 2.

2 Are you a private operating foundation? To be a private operating foundation you must engage directly in the active conduct of charitable, religious, educational, and similar activities, as opposed to indirectly carrying out these activities by providing grants to individuals or other organizations. If "Yes," go to line 3. If "No," go to the signature section of Part XI. Yes No

3 Have you existed for one or more years? If "Yes," attach financial information showing that you are a private operating foundation; go to the signature section of Part XI. If "No," continue to line 4. Yes No

4 Have you attached either (1) an affidavit or opinion of counsel, (including a written affidavit or opinion from a certified public accountant or accounting firm with expertise regarding this tax law matter), that sets forth facts concerning your operations and support to demonstrate that you are likely to satisfy the requirements to be classified as a private operating foundation; or (2) a statement describing your proposed operations as a private operating foundation? Yes No

5 If you answered "No" to line 1a, indicate the type of public charity status you are requesting by checking one of the choices below. You may check only one box.

The organization is not a private foundation because it is:

- a 509(a)(1) and 170(b)(1)(A)(i)—a church or a convention or association of churches. Complete and attach Schedule A.
- b 509(a)(1) and 170(b)(1)(A)(ii)—a **school**. Complete and attach Schedule B.
- c 509(a)(1) and 170(b)(1)(A)(iii)—a **hospital**, a cooperative hospital service organization, or a medical research organization operated in conjunction with a hospital. Complete and attach Schedule C.
- d 509(a)(3)—an organization supporting either one or more organizations described in line 5a through c, f, h, or i or a publicly supported section 501(c)(4), (5), or (6) organization. Complete and attach Schedule D.

Part X Public Charity Status (Continued)

- e 509(a)(4) – an organization organized and operated exclusively for testing for public safety.
- f 509(a)(1) and 170(b)(1)(A)(iv) – an organization operated for the benefit of a college or university that is owned or operated by a governmental unit.
- g 509(a)(1) and 170(b)(1)(A)(ix) – an agricultural research organization directly engaged in the continuous active conduct of agricultural research in conjunction with a college or university.
- h 509(a)(1) and 170(b)(1)(A)(vi) – an organization that receives a substantial part of its financial support in the form of contributions from publicly supported organizations, from a governmental unit, or from the general public.
- i 509(a)(2) – an organization that normally receives not more than one-third of its financial support from gross investment income and receives more than one-third of its financial support from contributions, membership fees, and gross receipts from activities related to its exempt functions (subject to certain exceptions).
- j A publicly supported organization, but unsure if it is described in 5h or 5i. You would like the IRS to decide the correct status.

6 If you checked box h, i, or j in question 5 above, and you have been in existence more than 5 years, you must confirm your public support status. Answer line 6a if you checked box h in line 5 above. Answer line 6b if you checked box i in line 5 above. If you checked box j in line 5 above, answer both lines 6a and 6b.

- a (i) Enter 2% of line 8, column (e) on Part IX-A Statement of Revenues and Expenses 1,970
- (ii) Attach a list showing the name and amount contributed by each person, company, or organization whose gifts totaled more than the 2% amount. If the answer is "None," state this.
- b (i) For each year amounts are included on lines 1, 2, and 9 of Part IX-A Statement of Revenues and Expenses, attach a list showing the name and amount received from each disqualified person. If the answer is "None," state this.
- (ii) For each year amounts were included on line 9 of Part IX-A Statement of Revenues and Expenses, attach a list showing the name of and amount received from each payer, other than a disqualified person, whose payments were more than the larger of (1) 1% of Line 10, Part IX-A Statement of Revenues and Expenses, or (2) \$5,000. If the answer is "None," state this.

7 Did you receive any unusual grants during any of the years shown on Part IX-A Statement of Revenues and Expenses? If "Yes," attach a list including the name of the contributor, the date and amount of the grant, a brief description of the grant, and explain why it is unusual. Yes No

Part XI User Fee Information and Signature

You must include the correct user fee payment with this application. If you do not submit the correct user fee, we will not process the application and we will return it to you. Your check or money order must be made payable to the United States Treasury. User fees are subject to change. Check our website at www.irs.gov and type "Exempt Organizations User Fee" in the search box, or call Customer Account Services at 1-877-829-5500 for current information.

Enter the amount of the user fee paid: \$600

I declare under the penalties of perjury that I am authorized to sign this application on behalf of the above organization and that I have examined this application, including the accompanying schedules and attachments, and to the best of my knowledge it is true, correct, and complete.

Please Sign Here

(Signature of Officer, Director, Trustee, or other authorized official)

G H Kip Barkley

G H Kip Barkley

(Type or print name of signer)

Treasurer

(Type or print title or authority of signer)

JAN 15, 2020

(Date)

Schedule A. Churches

1a	Do you have a written creed, statement of faith, or summary of beliefs? If "Yes," attach copies of relevant documents.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b	Do you have a form of worship? If "Yes," describe your form of worship.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
2a	Do you have a formal code of doctrine and discipline? If "Yes," describe your code of doctrine and discipline.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Do you have a distinct religious history? If "Yes," describe your religious history.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c	Do you have a literature of your own? If "Yes," describe your literature.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
3	Describe the organization's religious hierarchy or ecclesiastical government.		
4a	Do you have regularly scheduled religious services? If "Yes," describe the nature of the services and provide representative copies of relevant literature such as church bulletins.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b	What is the average attendance at your regularly scheduled religious services?	90	
5a	Do you have an established place of worship? If "Yes," refer to the instructions for the information required.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b	Do you own the property where you have an established place of worship?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
6	Do you have an established congregation or other regular membership group? If "No," refer to the instructions.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
7	How many members do you have?	140	
8a	Do you have a process by which an individual becomes a member? If "Yes," describe the process and complete lines 8b-8d, below.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b	If you have members, do your members have voting rights, rights to participate in religious functions, or other rights? If "Yes," describe the rights your members have.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c	May your members be associated with another denomination or church?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
d	Are all of your members part of the same family?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
9	Do you conduct baptisms, weddings, funerals, etc.?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
10	Do you have a school for the religious instruction of the young?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
11a	Do you have a minister or religious leader? If "Yes," describe this person's role and explain whether the minister or religious leader was ordained, commissioned, or licensed after a prescribed course of study.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b	Do you have schools for the preparation of your ordained ministers or religious leaders?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
12	Is your minister or religious leader also one of your officers, directors, or trustees?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
13	Do you ordain, commission, or license ministers or religious leaders? If "Yes," describe the requirements for ordination, commission, or licensure.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
14	Are you part of a group of churches with similar beliefs and structures? If "Yes," explain. Include the name of the group of churches.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
15	Do you issue church charters? If "Yes," describe the requirements for issuing a charter.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
16	Did you pay a fee for a church charter? If "Yes," attach a copy of the charter.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
17	Do you have other information you believe should be considered regarding your status as a church? If "Yes," explain.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

Schedule D. Section 509(a)(3) Supporting Organizations

Section I Identifying Information About the Supported Organization(s)

1 State the names, addresses, and EINs of the supported organizations. If additional space is needed, attach a separate sheet.

Name	Address	EIN
RIVER OF GRASS UNITARIAN UNIVERSALIST CONGREGATION	11850 W State Road 84 Suite 1 Davie, FL 33325-3806	65-0791653
UNITARIAN UNIVERSALIST ASSOCIATION, INC.	24 Farmsworth Street Boston, MA 02210	04-2103733

2 Are all supported organizations listed in line 1 public charities under section 509(a)(1) or (2)? If "Yes," go to Section II. If "No," go to line 3. Yes No

3 Do the supported organizations have tax-exempt status under section 501(c)(4), 501(c)(5), or 501(c)(6)? Yes No
 If "Yes," for each 501(c)(4), (5), or (6) organization supported, provide the following financial information.
 • Part IX-A. Statement of Revenues and Expenses, lines 1–13, and
 • Part X, lines 6b(i), 6b(ii), and 7.
 If "No," attach a statement describing how each organization you support is a public charity under section 509(a)(1) or (2).

Section II Relationship with Supported Organization(s) – Three Tests

To be classified as a supporting organization, an organization must meet one of three relationship tests.

- Test 1: "Operated, supervised, or controlled by" one or more publicly supported organizations, or
- Test 2: "Supervised or controlled in connection with" one or more publicly supported organizations, or
- Test 3: "Operated in connection with" one or more publicly supported organizations.

1 Information to establish the "operated, supervised, or controlled by" relationship (Test 1)
 Is a majority of your governing board or officers elected or appointed by the supported organization(s)? Yes No
 If "Yes," describe the process by which your governing board is appointed and elected; go to Section III.
 If "No," continue to line 2.

2 Information to establish the "supervised or controlled in connection with" relationship (Test 2)
 Does a majority of your governing board consist of individuals who also serve on the governing board of the supported organization(s)? If "Yes," describe the process by which your governing board is appointed and elected; go to Section III. If "No," go to line 3. Yes No

3 Information to establish the "operated in connection with" responsiveness test (Test 3)
 Are you a trust from which the named supported organization(s) can enforce and compel an accounting under state law? If "Yes," explain whether you advised the supported organization(s) in writing of these rights and provide a copy of the written communication documenting this; go to Section II, line 5. If "No," go to line 4a. Yes No

4 Information to establish the alternative "operated in connection with" responsiveness test (Test 3)

- a Do the officers, directors, trustees, or members of the supported organization(s) elect or appoint one or more of your officers, directors, or trustees? If "Yes," explain and provide documentation; go to line 4d, below. If "No," go to line 4b. Yes No
- b Do one or more members of the governing body of the supported organization(s) also serve as your officers, directors, or trustees or hold other important offices with respect to you? If "Yes," explain and provide documentation; go to line 4d, below. If "No," go to line 4c. Yes No
- c Do your officers, directors, or trustees maintain a close and continuous working relationship with the officers, directors, or trustees of the supported organization(s)? If "Yes," explain and provide documentation. Yes No
- d Do the supported organization(s) have a significant voice in your investment policies, in the making and timing of grants, and in otherwise directing the use of your income or assets? If "Yes," explain and provide documentation. Yes No
- e Describe and provide copies of written communications documenting how you made the supported organization(s) aware of your supporting activities.

5 Information to establish the "operated in connection with" integral part test (Test 3)
 Do you conduct activities that would otherwise be carried out by the supported organization(s)? If "Yes," explain and go to Section III. If "No," continue to line 6a. Yes No

Schedule D. Section 509(a)(3) Supporting Organizations (Continued)**Section II Relationship with Supported Organization(s) – Three Tests (Continued)**

- 6** Information to establish the alternative "operated in connection with" integral part test (Test 3)
- a** Do you distribute at least 85% of your annual **net income** to the supported organization(s)? If "Yes," go Yes No to line 6b. See instructions.
If "No," state the percentage of your income that you distribute to each supported organization. Also explain how you ensure that the supported organization(s) are attentive to your operations.
- b** How much do you contribute annually to each supported organization? Attach a schedule.
- c** What is the total annual revenue of each supported organization? If you need additional space, attach a list.
- d** Do you or the supported organization(s) **earmark** your funds for support of a particular program or activity? If "Yes," explain. Yes No
-
- 7a** Does your organizing document specify the supported organization(s) by name? If "Yes," state the article and paragraph number and go to Section III. If "No," answer line 7b. Yes No
- b** Attach a statement describing whether there has been an historic and continuing relationship between you and the supported organization(s).

Section III Organizational Test

- 1a** If you met relationship Test 1 or Test 2 in Section II, your organizing document must specify the supported organization(s) by name, or by naming a similar purpose or charitable class of beneficiaries. If your organizing document complies with this requirement, answer "Yes." If your organizing document does not comply with this requirement, answer "No," and see the instructions. Yes No
- b** If you met relationship Test 3 in Section II, your organizing document must generally specify the supported organization(s) by name. If your organizing document complies with this requirement, answer "Yes," and go to Section IV. If your organizing document does not comply with this requirement, answer "No," and see the instructions. Yes No

Section IV Disqualified Person Test

You do not qualify as a supporting organization if you are **controlled** directly or indirectly by one or more **disqualified persons** (as defined in section 4946) other than **foundation managers** or one or more organizations that you support. Foundation managers who are also disqualified persons for another reason are disqualified persons with respect to you.

- 1a** Do any persons who are disqualified persons with respect to you, (except individuals who are disqualified persons only because they are foundation managers), appoint any of your foundation managers? If "Yes," (1) describe the process by which disqualified persons appoint any of your foundation managers, (2) provide the names of these disqualified persons and the foundation managers they appoint, and (3) explain how control is vested over your operations (including assets and activities) by persons other than disqualified persons. Yes No
- b** Do any persons who have a family or business relationship with any disqualified persons with respect to you, (except individuals who are disqualified persons only because they are foundation managers), appoint any of your foundation managers? If "Yes," (1) describe the process by which individuals with a family or business relationship with disqualified persons appoint any of your foundation managers, (2) provide the names of these disqualified persons, the individuals with a family or business relationship with disqualified persons, and the foundation managers appointed, and (3) explain how control is vested over your operations (including assets and activities) in individuals other than disqualified persons. Yes No
- c** Do any persons who are disqualified persons, (except individuals who are disqualified persons only because they are foundation managers), have any influence regarding your operations, including your assets or activities? If "Yes," (1) provide the names of these disqualified persons, (2) explain how influence is exerted over your operations (including assets and activities), and (3) explain how control is vested over your operations (including assets and activities) by individuals other than disqualified persons. Yes No

Schedule E. Organizations Not Filing Form 1023 Within 27 Months of Formation

Schedule E is intended to determine whether you are eligible for tax exemption under section 501(c)(3) from the postmark date of your application or from your date of incorporation or formation, whichever is earlier.

1 Are you a church, association of churches, or integrated auxiliary of a church? If "Yes," complete **Yes** **No** Schedule A and stop here. Do not complete the remainder of Schedule E.

2a Are you a public charity with annual **gross receipts** that are normally \$5,000 or less? If "Yes," stop here. **Yes** **No**
Answer "No" if you are a private foundation, regardless of your gross receipts.

b If your gross receipts were normally more than \$5,000, are you filing this application within 90 days from the end of the tax year in which your gross receipts were normally more than \$5,000? If "Yes," stop here. **Yes** **No**

3a Were you included as a subordinate in a group exemption application or letter? If "No," go to line 4. **Yes** **No**

b If you were included as a subordinate in a group exemption letter, are you filing this application within 27 months from the date you were notified by the organization holding the group exemption letter or the Internal Revenue Service that you cease to be covered by the group exemption letter? If "Yes," stop here. **Yes** **No**

c If you were included as a subordinate in a timely filed group exemption request that was denied, are you filing this application within 27 months from the postmark date of the Internal Revenue Service final adverse ruling letter? If "Yes," stop here. **Yes** **No**

4 Were you created on or before October 9, 1969? If "Yes," stop here. Do not complete the remainder of this schedule. **Yes** **No**

5 If you answered "No" to lines 1 through 4, we cannot recognize you as tax exempt from your date of formation unless you qualify for an extension of time to apply for exemption. Do you wish to request an extension of time to apply to be recognized as exempt from the date you were formed? If "Yes," attach a statement explaining why you did not file this application within the 27-month period. Do not answer lines 6 or 7. If "No," go to line 6a. **Yes** **No**

6a If you answered "No" to line 5, you can only be exempt under section 501(c)(3) from the postmark date of this application. Therefore, do you want us to treat this application as a request for tax exemption from the postmark date? **Yes** **No**

Note: Be sure your ruling eligibility agrees with your answer to Part X, line 6.

b Do you anticipate significant changes in your sources of support in the future? If "Yes," complete line 7 below. **Yes** **No**

Supplemental Information for Form 1023

RIVER OF GRASS UNITARIAN UNIVERSALIST CONGREGATION CAPITAL
FOUNDATION, INC
11850 W State Road 84, Ste 1
Davie, FL 33325-3806

FEI/EIN Number 27-5286663

Part IV: Our Narrative:

In October 1997, our membership came together and incorporated as the River of Grass Unitarian Universalist Congregation, Inc. With much hard work, we grew our numbers, raised money and set out to buy our own property and building. We located and acquired property in Southwest Ranches, Florida. Alas, we came to realize that to construct a building on our new property was beyond our reach, a bridge too far. In early 2007, we sold our property, right before the financial crisis.

This left us back to renting space and with a great deal of money in the bank. Members grew concerned that a future church board would nibble away at this money to balance an ambitious budget of spending and leave us with diminished resources for a future building. Others might have been satisfied with strict bylaws, but we chose the physical barrier of a separate corporation. Thus in 2011 was born the River of Grass Unitarian Universalist Congregation Capital Foundation, Inc. We became a single congregation with two separate boards of trustees.

Looking further, we saw six capable members on the Foundation board managing our Capital Fund. They needed more responsibility. Thus we established a Legacy Fund to attract bequests to further exclusively the charitable, religious and educational purposes of the River of Grass Unitarian Universalist Congregation, Inc.

Now we are making application for 501(c)(3) status so that we may fulfill our mission to:

Provide a foundation of financial security while supporting the covenant and mission of the River of Grass Unitarian Universalist Congregation by maintaining a multiple funds exclusively for charitable, religious and educational purposes, including purchasing real estate, outside of the congregation's operating budget.

Articles of Incorporation and Bylaws are in Attachments 1-3.

River of Grass Unitarian Universalist Congregation Capital Foundation, Inc.
FEIN: 27-5286663 **Form 1023 – Supplemental Information**

Part I, 10: We are a fully integrated church auxiliary and look to be excused from filing either a Form 990 or Form 990-EZ.

Part II, 1: See Attachment 1 Amended & Restated Articles of Incorporation
Attachment 2 Name Change to Articles of Incorporation
Attachment 3 ByLaws

Part V, 1a: Additional Trustees at zero compensation:

Ahysa Boutron
3117 Peachtree Cir
Davie, FL 33328

Roy Schwartz
5307 NW 118th Ave
Coral Springs, FL 33076

We have a total of six Trustees, four of whom are Officers and listed on Form 1023.

Part V, 3: We do not intent to ever compensate Officers or Trustees or to ever have employees.

Part V, 5a: Yes, we have a Conflict of Interest Policy. See Attachment 4.

Part V, 9: Our only independent contractor is Northstar Financial Planners, Inc., <www.NorthstarPlanners.com> 1250 S Pine Island Road, Suite 275, Plantation, FL 33324. They manage our investment portfolio held by TD Ameritrade <www.TDAmeriTrade.com>. Our agreement with Northstar is month-to-month and their fee is 0.75% of total assets per year. See Attachment 5.

Part VI, 1b: We may deliver funds only to the River of Grass Unitarian Universalist Congregation, Inc.

Part VII, 2: History. We originally incorporated in 2011 to manage congregation assets for our future building. In 2018, we revised our Articles of Incorporation to bring them into compliance with IRS requirements. In 2019, we changed our name from Capital Fund to Capital Foundation. We now manage multiple funds, and solicit bequests. Our intention is to attract bequests and gifts from IRA required minimum distributions. For this, we need 501(c)(3) status.

Part VIII, 4a: Our plan is to solicit bequests by personal contacts, mail, email, and our website. We have a webpage at www.RiverOfGrassUU.org/foundation/ and write inserts for the church newsletter and Sunday bulletins. Solicitations are made by congregation members. We look to receive bequests and direct IRA partial Minimum Required Distributions from individual donors.

Part VIII, 4d: We operate only within Broward County, Florida.

River of Grass Unitarian Universalist Congregation Capital Foundation, Inc.
FEIN: 27-5286663 **Form 1023 – Supplemental Information**

Part VIII, 13a-g: As stated in Part VI, Section B, We will provide cash or make purchases for, and only for, the River of Grass Unitarian Universalist Congregation, Inc. We will either offer the transfer to the congregation board, or be directed to make it by the congregation membership.

Part VIII, 15: We are an integrated auxiliary to the River of Grass Unitarian Universalist Congregation, Inc., a 501(c)(3) corporation. We are a member congregation of the Unitarian Universalist Association, Inc., <www.uua.org>, a 501(c)(3) corporation.

Part IX, a: 5-Year Statement of Revenue and Expenses, See Attachment 7

Part IX, B 4-5: Bond and Stock Mutual Funds. See Attachment 6.

Part X, 5d: We are an integrated church auxiliary to the River of Grass Unitarian Universalist Congregation, Inc. Our membership is the same as that of the congregation. They elect and can remove our board just as they can elect and remove the board of the River of Grass Unitarian Congregation, Inc. We both operate as church corporations.

Part X, 5h: We receive income from members, 501(c)(3) organizations, our investments, and the River of Grass Unitarian Universalist Congregation, Inc.

Part X, 6a: Gives of more than 2%: None.

Schedule D, Form 1023

Section II: We meet Test 2, "Supervised or controlled in connection with the River of Grass Unitarian Universalist Congregation."

Section II, 2: Explanation of our working relationships:

We have a common membership electing both boards of trustees (Charter Article V). Our Articles of Incorporation and Bylaws may be amended only by the membership (Charter Articles IX and X). Members elect Trustees and can thus also recall them (Bylaw Article V). Special Meetings may be called by the membership (Bylaw Article II, Section 3). Meeting agenda items may be added by members (Bylaw Article II, Section 2b). Members can nominate candidates for election to the board (Bylaw Article V, Section 2). Membership can vote how to distribute the assets (Bylaw Article VIII, Section 1). Membership may request reports (Bylaw Article IX, Section 2)

Schedule A, Form 1023

Part 1a: Statement of Faith:

We, the member congregations of the Unitarian Universalist Association, covenant to affirm and promote

- The inherent worth and dignity of every person;
- Justice, equity and compassion in human relations;
- Acceptance of one another and encouragement to spiritual growth in our congregations;
- A free and responsible search for truth and meaning;
- The right of conscience and the use of the democratic process within our congregations and in society at large;
- The goal of world community with peace, liberty, and justice for all;
- Respect for the interdependent web of all existence of which we are a part.

The living tradition which we share draws from many sources:

- Direct experience of that transcending mystery and wonder, affirmed in all cultures, which moves us to a renewal of the spirit and an openness to the forces which create and uphold life;
- Words and deeds of prophetic women and men which challenge us to confront powers and structures of evil with justice, compassion, and the transforming power of love;
- Wisdom from the world's religions which inspires us in our ethical and spiritual life;
- Jewish and Christian teachings which call us to respond to God's love by loving our neighbors as ourselves;
- Humanist teachings which counsel us to heed the guidance of reason and the results of science, and warn us against
- idolatries of the mind and spirit.
- Spiritual teachings of earth-centered traditions which celebrate the sacred circle of life and instruct us to live in harmony with the rhythms of nature.

Grateful for the religious pluralism which enriches and ennobles our faith, we are inspired to deepen our understanding and expand our vision. As free congregations we enter into this covenant, promising to one another our mutual trust and support.

Part 1b: Our Typical Sunday Service we hold every Sunday morning from 10:30 to 11:30 am. A visitor would likely recognize the format as Protestant Christian. See Sunday bulletin.

River of Grass Unitarian Universalist Congregation Capital Foundation, Inc.
FEIN: 27-5286663 **Form 1023 – Supplemental Information**

Part 2b: Our Religious History:

Historically, various forms of Non-trinitarianism have appeared within Christianity. The term "Unitarian" entered the English language via Henry Hedworth, who applied it to the teachings of Laelio Sozzini and the Polish Socinians. Unitarian churches were formally established in Transylvania and Poland (by the Socinians) in the second half of the 16th century.

Universalists claim a long history, beginning with Origen and Gregory of Nyssa. In 1793, Universalism emerged as a particular denomination of Christianity in the United States, eventually called the Universalist Church of America.

Unitarian Universalism was formed from the consolidation in 1961 of these two historically separate Christian denominations.

Part 2c: Our Literature:

We have no sacred text. We admire the writings of Ralph Waldo Emerson, Ellery Channing, Henry David Thoreau, the Rev Forrest Church, and other spiritual teachers.

Part 3: Our Religious Hierarchy:

Each of our 1100 congregations are autonomous and self-governing. As members of the Unitarian Universalist Association, they honor our Purposes and Principles listed above in Part 1a.

Part 4a: Our regularly scheduled religious services are every Sunday 10:30 to 11:30 am. A visitor would likely recognize the format as Protestant Christian. We sign hymns, recite readings, hear a sermon, and pass an offering plate. See Sunday bulletin.

Part 5a: Our established place of worship is the rental property at the address listed on the first page of this application.

Part 8a: Membership Process: Those wishing to join our congregation who are at least 18 years of age must attend several orientation classes and meet with our minister for a shared discussion of spiritual journey. They meet with Congregational leaders, discuss expectations, and agree to support our community. Then they sign our Membership Book, typically with great ceremony at a designated Sunday Service.

Part 8b: Membership Rights. Once signing the Membership Book, a new member may speak up at meetings and chair a committee. After 60 days, the new member is eligible to vote, and to run for election to become a Trustee.

River of Grass Unitarian Universalist Congregation Capital Foundation, Inc.
FEIN: 27-5286663 **Form 1023 – Supplemental Information**

Part 11a: Role of Minister: The minister is our spiritual leader and presents 60% of our Sunday Services. The minister counsels members and may attend and speak at any committee or board meeting. The minister is never a Trustee or Officer of the either of the congregation's corporations. The minister is called by the congregation to serve on an ongoing basis and is a member of the Unitarian Universalist Minister's Association <www.uuma.org>.

Part 13: Ordination Process. A ministerial candidate is typically in some stage of achieving Fellowship in the Unitarian Universalist Minister's Association <www.uuma.org>, a part of the Unitarian Universalist Association. A candidate will have previously earned a Master's or Doctoral Degree in Ministry from an accredited college or university. A congregation will ordain a candidate of their choosing who has earned these credentials.

Part 14: Affiliation: We are a member congregation of the Unitarian Universalist Association, Inc. <www.uuma.org>.

Part 17: Other useful information. ??

Schedule E, Form 1023

Part 6a: In June 2018 we amended our Articles of Incorporation to comply with IRS requirements in preparation for our application.

**River of Grass Unitarian Universalist Congregation Capital Foundation, Inc. FEIN: 27-5286663
Form 1023 – Supplemental Information**

Attachments

Attachment 1 Amended & Restated Articles of Incorporation (from Part II,1)

Attachment 2 Name Change to Articles of Incorporation (from Part II,1)

Attachment 3 ByLaws (from Part II,5)

Attachment 4 Conflict of Interest Policy (from Part V, 5a)

Attachment 5 Investment Advisory Agreement-Northstar Financial Planners (from Part V,9b-f)

Attachment 6 Bond and Stock Mutual Funds (from Part IX,b,4-7)

Attachment 7 5-Year Statement of Revenue and Expenses (from Part IX,a)

Attachment 8 Sunday Bulletins {a.k.a. Orders of Service} & Newsletters (from Part IV)



I certify from the records of this office that RIVER OF GRASS UNITARIAN UNIVERSALIST CONGREGATION CAPITAL FOUNDATION, INC. is a corporation organized under the laws of the State of Florida, filed on March 9, 2011, effective March 9, 2011.

The document number of this corporation is N11000002485.

I further certify that said corporation has paid all fees due this office through December 31, 2019, that its most recent annual report/uniform business report was filed on January 15, 2019 and its status is active.

I further certify that said corporation has not filed Articles of Dissolution.

Given under my hand and the Great Seal of the State of Florida at Tallahassee, the Capital, this the Twelfth day of June, 2019



Laurel M. Lee
Laurel M. Lee
Secretary of State

State of Florida



Department of State

I certify the attached is a true and correct copy of the Articles of Amendment, filed on May 28, 2019, to Articles of Incorporation for RIVER OF GRASS UNITARIAN UNIVERSALIST CONGREGATION CAPITAL FUND, INC. which changed its name to RIVER OF GRASS UNITARIAN UNIVERSALIST CONGREGATION CAPITAL FOUNDATION, INC., a Florida corporation, as shown by the records of this office.

The document number of this corporation is N11000002485.

Given under my hand and the
Great Seal of the State of Florida
at Tallahassee, the Capital, this the
Twelfth day of June, 2019



Laurel M. Lee

Laurel M. Lee

Secretary of State

River of Grass Unitarian Universalist Congregation Capital Foundation, Inc.
Amended and Restated Articles of Incorporation
N11000002485
As of May 28, 2019

ARTICLE I – Name

The name of the corporation shall be River of Grass Unitarian Universalist Congregation Capital Foundation, Inc.

ARTICLE II - Purpose

The purpose for which the corporation is organized is to receive and maintain a fund or funds of real or personal property, or both, and, subject to the restrictions and limitations set forth, to use and apply to the whole or any part of the income there from and the principle thereof exclusively for charitable, religious and educational purposes, including purchasing real estate, either directly or by contributions to the River of Grass Unitarian Universalist Congregation, Inc.

ARTICLE III – Location

The principal office of this corporation shall be located at 11850 W. State Road 84, Suite 1, Davie, FL 33325.

ARTICLE IV – Officers

The original officers and trustees are:
President: Pamela C. Schrimsher
Vice-president: Alan W. Meerow
Secretary: Esther Sampol
Treasurer: Roy I. Schwartz
Trustee: Scott May

ARTICLE V – Members

Those persons who from time to time are voting members of the River of Grass Unitarian Universalist Congregation, Inc. shall by reason thereof be members of this corporation, and membership in this corporation shall terminate when any such person ceases to be a voting member of the said River of Grass Unitarian Universalist Congregation, Inc.

ARTICLE VI – Subscribers

The names of the subscribers to these Articles of Incorporation are Scott May, Alan W. Meerow, Esther Sampol, Pamela C. Schrimsher, and Roy I. Schwartz.

ARTICLE VII – Trustees

The manner in which trustees are elected or appointed is as provided in the bylaws.

ARTICLE VIII – Tax Exempt Status

A. This corporation is organized exclusively for religious, charitable, and educational purposes, including, for such purposes, the making of distributions to organizations that qualify as exempt organizations under section 501(c)(3) of the Internal Revenue Code or the corresponding section of any future tax code.

B. No part of the net earnings or assets of this corporation shall inure to the benefit of, or be distributable to, its officers, trustees, members or other private person, except that the corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in these articles.

River of Grass Unitarian Universalist Congregation Capital Foundation, Inc.
Amended and Restated Articles of Incorporation
N11000002485
As of May 28, 2019

C. No substantial part of the activities of this corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation, and the corporation shall not participate in, or intervene in (including the publishing or distribution of statements), any political campaign on behalf of or in opposition to any candidate for public office.

D. Notwithstanding any other provisions of these articles, this corporation shall not carry on any other activities not permitted to be carried on by a corporation exempt from federal income tax under section 501(c)(3) of the Internal Revenue Code, or the corresponding section of any future Federal tax code, or by a corporation, contributions to which are deductible under section 170(c)(2) of the Internal Revenue Code.

ARTICLE IX - Amendments to Articles of Incorporation

Amendments to these Articles of Incorporation must receive a two-thirds (2/3) vote of the members at a meeting called for that purpose on proper notice and with a copy of the proposed amendment having been mailed (USPS or electronically) to the membership. Such amendments must be filed with the Florida Secretary of State before becoming effective.

ARTICLE X – Bylaws

The Bylaws of this corporation shall further define the procedures for this corporation. These Bylaws may be adopted and amended by a two-thirds (2/3) vote of the members and at a meeting called for that purpose on proper notice and with a copy of the proposed amendment having been mailed (USPS or electronically) to the membership.

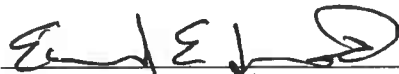
ARTICLE XI – Dissolution

A. In the event of dissolution, the residual assets of the organization shall be turned over to one or more organizations which themselves are exempt as organizations described in sections 501(c)(3) and 170(c)(2) of the Internal Revenue Code or corresponding sections of any prior or future Internal Revenue Code, or to the Federal, State, or local government for exclusive public purpose.

B. Upon the dissolution or the winding up of the affairs of this corporation, for whatever reason, its assets shall be first applied to the payment of any liabilities and the balance thereof shall be distributed, transferred, conveyed, delivered and paid over to the River of Grass Unitarian Universalist Congregation, Inc. or to such other organization as may be designated by the donor of a particular fund or property; provided, however, that any such organizations shall themselves be qualified for exemption under Section 501(c)(3) of the Internal Revenue Code.

C. In the event that the River of Grass Unitarian Universalist Congregation, Inc., is no longer in existence when this corporation is dissolved or wound up, than this corporation's assets shall be first applied to the payment of any liabilities and the balance thereof shall be distributed, transferred, conveyed, delivered and paid to the Unitarian Universalist Association of Congregations, Inc., or to such other organization as may be designated by the donor of a particular property; provided, however, that any such organizations shall themselves be qualified for exemption under Section 501(c)(3) of the Internal Revenue Code.

This Charter was ratified and amended by unanimous vote of the members present at a duly called meeting of the membership on May 6, 2018 and May 19, 2019 and filed May 28, 2019.


Ed Lewis -- President

ATTEST:


Melissa Buehler -- Secretary

NAME CHANGE



I certify the attached is a true and correct copy of the Articles of Amendment, filed on May 28, 2019, to Articles of Incorporation for RIVER OF GRASS UNITARIAN UNIVERSALIST CONGREGATION CAPITAL FUND, INC. which changed its name to RIVER OF GRASS UNITARIAN UNIVERSALIST CONGREGATION CAPITAL FOUNDATION, INC., a Florida corporation, as shown by the records of this office.

The document number of this corporation is N11000002485.

Given under my hand and the
Great Seal of the State of Florida
at Tallahassee, the Capital, this the
Twelfth day of June, 2019



CR2E022 (01-11)

Laurel M. Lee
Laurel M. Lee
Secretary of State

Articles of Amendment
to
Articles of Incorporation
of

RIVER OF GRASS UNITARIAN UNIVERSALIST CONGREGATION CAPITAL FUND, INC.

(Name of Corporation as currently filed with the Florida Dept. of State)

N1100002485

(Document Number of Corporation (if known))

Pursuant to the provisions of section 617.1006, Florida Statutes, this *Florida Not For Profit Corporation* adopts the following amendment(s) to its Articles of Incorporation:

A. If amending name, enter the new name of the corporation:

RIVER OF GRASS UNITARIAN UNIVERSALIST CONGREGATION CAPITAL FOUNDATION, INC.

The new

name must be distinguishable and contain the word "corporation" or "incorporated" or the abbreviation "Corp." or "Inc." "Company" or "Co." may not be used in the name.

No Change

B. Enter new principal office address, if applicable:
(Principal office address MUST BE A STREET ADDRESS)

C. Enter new mailing address, if applicable:
(Mailing address MAY BE A POST OFFICE BOX)

No Change

D. If amending the registered agent and/or registered office address in Florida, enter the name of the new registered agent and/or the new registered office address:

Name of New Registered Agent: No Change

(Florida street address)

New Registered Office Address:

No Change

, Florida

(City)

(Zip Code)

New Registered Agent's Signature, if changing Registered Agent:

I hereby accept the appointment as registered agent. I am familiar with and accept the obligations of the position.

N/A

Signature of New Registered Agent, if changing

19 MAY 28 AM 8:11
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

FILED

If amending the Officers and/or Directors, enter the title and name of each officer/director being removed and title, name, and address of each Officer and/or Director being added:

(Attach additional sheets, if necessary)

Please note the officer/director title by the first letter of the office title:

P = President; V = Vice President; T = Treasurer; S = Secretary; D = Director; TR = Trustee; C = Chairman or Clerk; CEO = Chief Executive Officer; CFO = Chief Financial Officer. If an officer/director holds more than one title, list the first letter of each office held. President, Treasurer, Director would be PTD.

Changes should be noted in the following manner. Currently John Doe is listed as the PST and Mike Jones is listed as the V. There is a change, Mike Jones leaves the corporation, Sally Smith is named the V and S. These should be noted as John Doe, PT as a Change, Mike Jones, V as Remove, and Sally Smith, SV as an Add.

Example:

<input checked="" type="checkbox"/> Change	<u>PT</u>	<u>John Doe</u>
<input checked="" type="checkbox"/> Remove	<u>V</u>	<u>Mike Jones</u>
<input checked="" type="checkbox"/> Add	<u>SV</u>	<u>Sally Smith</u>

<u>Type of Action</u> (Check One)	<u>Title</u>	<u>Name</u>	<u>Address</u>
1) <input type="checkbox"/> Change <input type="checkbox"/> Add <input checked="" type="checkbox"/> Remove	<u>Pres/Dir</u>	<u>Tucker, Richard A</u>	<u>11850 W STATE ROAD 84</u> <u>SUITE 1</u> <u>DAVIE, FL 33325</u>
2) <input type="checkbox"/> Change <input type="checkbox"/> Add <input checked="" type="checkbox"/> Remove	<u>Sec/Dir</u>	<u>Juncosa, Susan</u>	<u>11850 W STATE ROAD 84</u> <u>SUITE 1</u> <u>DAVIE, FL 33325</u>
3) <input type="checkbox"/> Change <input checked="" type="checkbox"/> Add <input type="checkbox"/> Remove	<u>Pres/Dir</u>	<u>Edward Lewis</u>	<u>11850 W STATE ROAD 84</u> <u>SUITE 1</u> <u>DAVIE, FL 33325</u>
4) <input type="checkbox"/> Change <input checked="" type="checkbox"/> Add <input type="checkbox"/> Remove	<u>Sec/Dir</u>	<u>Melissa Buehler</u>	<u>11850 W STATE ROAD 84</u> <u>SUITE 1</u> <u>DAVIE, FL 33325</u>
5) <input type="checkbox"/> Change <input type="checkbox"/> Add <input type="checkbox"/> Remove	<u> </u>	<u> </u>	<u> </u> <u> </u> <u> </u>
6) <input type="checkbox"/> Change <input type="checkbox"/> Add <input type="checkbox"/> Remove	<u> </u>	<u> </u>	<u> </u> <u> </u> <u> </u>

E. If amending or adding additional Articles, enter change(s) here:
(attach additional sheets, if necessary). (Be specific)

ARTICLE I – Name The name of the corporation shall be River of Grass Unitarian Universalist Congregation
Capital Foundation, Inc.

Continuing Diectors are:

Schwartz, Roy Title Director

Jens-Rochow, Kathy Title Director, VP

Barkley, George Kip Title Director, Treasurer

May 19, 2019

The date of each amendment(s) adoption: _____, if other than the date this document was signed.

Date of Filing

Effective date if applicable:

(no more than 90 days after amendment file date)

Note: If the date inserted in this block does not meet the applicable statutory filing requirements, this date will not be listed as the document's effective date on the Department of State's records.

Adoption of Amendment(s)

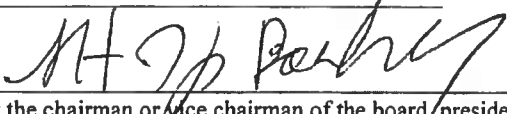
(CHECK ONE)

- The amendment(s) was/were adopted by the members and the number of votes cast for the amendment(s) was/were sufficient for approval.
- There are no members or members entitled to vote on the amendment(s). The amendment(s) was/were adopted by the board of directors.

May 19, 2019

Dated

Signature



(By the chairman or vice chairman of the board, president or other officer-if directors have not been selected, by an incorporator - if in the hands of a receiver, trustee, or other court appointed fiduciary by that fiduciary)

George Kip Barkley

(Typed or printed name of person signing)

Treasurer

(Title of person signing)



FLORIDA DEPARTMENT OF STATE
Division of Corporations

June 12, 2019

G.H. KIP BARKLEY
1100 SE 5 CT #24
POMPANO BEACH, FL 33060 US

Re: Document Number N11000002485

The Articles of Amendment to the Articles of Incorporation for RIVER OF GRASS UNITARIAN UNIVERSALIST CONGREGATION CAPITAL FUND, INC. which changed its name to RIVER OF GRASS UNITARIAN UNIVERSALIST CONGREGATION CAPITAL FOUNDATION, INC., a Florida corporation, were filed on May 28, 2019.

The certification requested is enclosed.

Should you have any question regarding this matter, please telephone (850) 245-6050, the Amendment Filing Section.

Shelia H Young
Regulatory Specialist II
Division of Corporations

Letter Number: 719A00011777

River of Grass Unitarian Universalist Congregation Capital Foundation, Inc.

By-Laws, as of May 19, 2019

ARTICLE I - Perpetual Existence

This corporation shall have perpetual existence.

ARTICLE II – Meetings of Members

SECTION 1. The River of Grass Unitarian Universalist Congregation Capital Foundation, Inc. Annual Meeting of members shall be held immediately following the Annual Meeting of the River of Grass Unitarian Universalist Congregation, Inc.

SECTION 2. Notice of the Annual Meeting of the River of Grass Unitarian Universalist Congregation, Inc. shall serve also as notice of the Annual Meeting of this corporation.

SECTION 2a. No subjects may be voted on at the Annual Meeting unless written notice of same is distributed by mail (electronic or postal) at least fourteen (14) days in advance of the meeting.

SECTION 2b. Any member may submit to the President or Board Secretary, an item to add to the agenda: said item shall be accepted and included in the written notice distributed by mail (electronic or postal) to the membership.

SECTION 3. A special meeting of the members may be called by a majority of the trustees or by fifty percent of the members as reported by the UUA.org by written or electronic notice at least fourteen days prior to the date of the special meeting. All subjects to be voted upon at said meeting shall be included in the written notice.

SECTION 4. A quorum shall consist of at least twenty-five percent of the members. The acts of a majority of members present at any meeting with a quorum shall be binding upon the corporation.

SECTION 5. *Robert's Rules of Order, Revised*, shall serve as a guide for the conduct of all meetings of this corporation.

ARTICLE III – Trustees

SECTION 1. The affairs of this corporation shall be managed by trustees of not less than four, nor more than six persons elected by the members of the River of Grass Unitarian Universalist Congregation Capital Foundation, Inc.

SECTION 2. Except for the initial terms hereafter determined, the term of office of each of such trustees shall be for two years, or until their successors are elected.

SECTION 3. The names of the persons who are to serve as trustees until the further election thereof, and the initial terms of said trustees are as follows:

One Year: Alan W. Meerow, Pamela C. Schrimsher
Two Years: Scott May, Esther Sampol, Roy I. Schwartz

SECTION 4. An interim vacancy among the trustees shall be filled by the vote of a majority of the remaining trustees.

SECTION 5. All of the corporate powers, except as otherwise provided by the laws of the State of Florida, shall be and hereby are vested in and shall be exercised by the trustees. Agreement and action of a majority of said trustees shall be binding upon this corporation.

ARTICLE IV – Meeting of Trustees

SECTION 1. The Annual Meeting of the trustees shall be held on the same date as, and immediately following, the Annual Meeting of the members as set forth in Article II.

SECTION 2. Additional Meetings of the trustees may be called by the president or by a majority of the trustees, and such meeting shall be held at any time and place within Broward County, Florida, as may be designated by the person or persons calling such special meeting.

ARTICLE V – Nomination and Election of Trustees

SECTION 1. The board shall nominate at least one candidate for each position of trustee to be elected by the members at the Annual Meeting.

SECTION 2. Nominations may also be made for candidates by written petition signed by at least one member and delivered to a trustee at least twenty days prior to the date of the Annual Meeting. Members may nominate themselves.

SECTION 3. All nominations shall be distributed in writing by mail (electronic or postal) to the membership at least fourteen days prior to the Annual Meeting.

SECTION 4. There shall be absentee ballots for election of trustees. Such ballots shall be issued by the Secretary to members upon request. Absentee ballots must be completed, signed, and in the hands of the Secretary prior to the congregational meeting.

SECTION 5. Any incumbent trustee is eligible for re-election for an unlimited number of terms.

SECTION 6. No person shall act or serve as a trustee or officer of this corporation while such person is serving as a trustee or officer of the River of Grass Unitarian Universalist Congregation, Inc.

ARTICLE VI – Election of Officers

SECTION 1. The names of officers who are to serve until the first election thereof are as follows:

President – Pamela C. Schrimsher
Vice-President – Alan W. Meerow
Treasurer – Roy I. Schwartz

Secretary – Esther Sampol

SECTION 2. The trustees shall, at their Annual Meeting, select a president, treasurer, and secretary from the trustees. The officers shall be selected for a term of one year or until their successors are elected. Such positions shall be held by different persons, all of whom shall also be trustees of this corporation.

SECTION 3. The officers, together with such vice-officers or assistant officers as the trustees from time to time may deem necessary and in the best interest of the corporation, are authorized to act for this corporation and for its trustees.

SECTION 4. Any interim vacancy in the officers' positions shall be filled by the selection of the trustees.

ARTICLE VII – Duties and Officers

SECTION 1. The president shall preside at all meetings of the corporation and trustees. He or she shall be an ex-officio member of all committees.

SECTION 2. The secretary shall transmit notice of, attend, record and maintain the proceedings of all meetings of the members of the corporation and of the trustees. He or she shall have custody of the seal of the corporation if one is provided.

SECTION 3. The treasurer shall collect and receive all monies to be paid to the corporation, and he or she shall deposit the same in the name of the corporation in such depositories as shall be designated by the trustees.

Article VIII – Funds and Expenses

SECTION 1. Upon registration of the Articles of Incorporation for this corporation, the Treasurer of the River of Grass Unitarian Universalist Congregation, Inc shall transfer \$500,000.00 to the depository of this corporation. This money, plus any income earned from it less any corporation expenses, is to be set aside until the members vote to purchase a congregational home or otherwise distribute the money.

SECTION 2. Additional funds may be received by the corporation either as designated gifts or as donations to be managed by the trustees.

SECTION 3. As a means for accomplishing the forgoing purposes, the corporation shall have the following powers, as necessary:

1. To accept, acquire, receive, take and hold by bequest, devise, grant, gift, purchase, exchange, lease, transfer, judicial order or decree, or otherwise, for any of its objects and purposes, any property, both real and personal, of whatever kind, nature, of description and whatever situated.

2. To sell, exchange, convey, mortgage, lease, transfer, or otherwise dispose of, any such property, both real and personal, as the objects and purposes of the corporation may require, subject to such limitations as may be prescribed by law.

3. To borrow money, and, from time to time, to make, accept, endorse, execute, and issue promissory notes and other obligations of the corporation for moneys borrowed or in payment for property acquired or for any of the other purposes of the corporation, and to secure payment of any such obligation by mortgage, pledge, deed, indenture, agreement or other instrument of trust, or by other lien upon, assignment of, or agreement in regard to all or any part of the property, rights, or privileges of the corporation wherever situated, whether now owned or hereafter to be acquired.

4. To invest and reinvest its funds in such stock, common or preferred, bonds, debentures, mortgages, or in such other securities and property as its trustees shall deem advisable, subject to the limitations and conditions contained in any bequest, devise, grant or gift.

5. To retain or to disburse and distribute property and funds in accordance with the purposes of this corporation and the specific directions of donors with regard to property donated by them, except where such directions would impair the classifications of the corporation as an exempt non-profit organization under the laws of the United States or the State of Florida.

6. In general, to execute such other powers which now are or hereafter may be conferred by law upon a corporation organized for the purposes hereinabove set forth, or necessary or incidental to the powers so conferred, or conducive to the attainment of the purposes of the corporation, subject only to such limitations as are or may be prescribed by law.

ARTICLE IX – Reports

SECTION 1. The officers and trustees shall render an annual financial report to the members at their Annual Meeting.

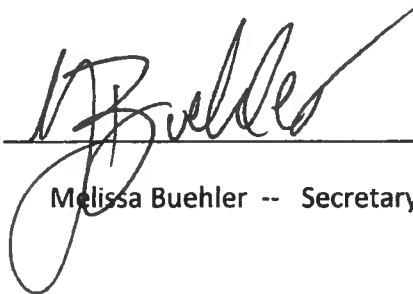
SECTION 2. The officers and trustees shall render such additional reports as from time to time may be requested by a majority of the members of the River of Grass Unitarian Universalist Congregation Capital Foundation, Inc.

These Bylaws were revised and adopted by unanimous vote of the members present at a duly called meeting of the membership on May 19, 2019.



Ed Lewis -- President

ATTEST:



Melissa Buehler -- Secretary

Attachment #4

EIN# 27-5286663

River of Grass Unitarian Universalist Congregation Capital Foundation, Inc. Conflict of Interest Policy

Proposed as of June 8, 2019

Article I - Purpose

The purpose of the conflict of interest policy is to protect this tax-exempt foundation's interest when it is contemplating entering into a transaction or arrangement that might benefit the private interest of an Officer or Trustee of the Foundation or might result in a possible excess benefit transaction. This policy is intended to supplement but not replace any applicable state and federal laws governing conflict of interest applicable to nonprofit and charitable organizations.

Article II - Definitions

1. Interested Person:

Any Officer, Trustee, or member of a committee with governing board delegated powers, who has a direct or indirect financial interest, as defined below, is an interested person.

2. Financial Interest:

A person has a financial interest if the person has, directly or indirectly, through business, investment, or family:

- a. An ownership or investment interest in any entity with which the Foundation has a transaction or arrangement,
 - b. A compensation arrangement with the Foundation or with any entity or individual with which the Foundation has a transaction or arrangement, or
 - c. A potential ownership or investment interest in, or compensation arrangement with, any entity or individual with which the Foundation is negotiating a transaction or arrangement.
- Compensation includes direct and indirect remuneration as well as gifts or favors that aren't insubstantial. A financial interest isn't necessarily a conflict of interest. Under Article III, Section 2, a person who has a financial interest may have a conflict of interest only if the appropriate governing board or committee decides that a conflict of interest exists.

Article III - Procedures

1. Duty to Disclose: In connection with any actual or possible conflict of interest, an interested person must disclose the existence of the financial interest and be given the opportunity to disclose all material facts to the Trustees and members of committees with governing board delegated powers considering the proposed transaction or arrangement.

2. Determining Whether a Conflict of Interest Exists: After disclosure of the financial interest and all material facts, and after any discussion with the interested person, he/she shall leave the governing board or committee meeting while the determination of a conflict of interest is discussed and voted upon. The remaining board or committee members shall decide if a conflict of interest exists.

3. Procedures for Addressing the Conflict of Interest:

- a.** An interested person may make a presentation at the governing board or committee meeting, but after the presentation, he/she shall leave the meeting during the discussion of, and the vote on, the transaction or arrangement involving the possible conflict of interest.
- b.** The chairperson of the governing board or committee shall, if appropriate, appoint a disinterested person or committee to investigate alternatives to the proposed transaction or arrangement.
- c.** After exercising due diligence, the governing board or committee shall determine whether the Foundation can obtain with reasonable efforts a more advantageous transaction or arrangement from a person or entity that would not give rise to a conflict of interest.
- d.** If a more advantageous transaction or arrangement isn't reasonably possible under circumstances not producing a conflict of interest, the governing board or committee shall determine by a majority vote of the disinterested directors whether the transaction or arrangement is in the Foundation's best interest, for its own benefit, and whether it is fair and reasonable. In conformity with the above determination it shall make its decision as to whether to enter into the transaction or arrangement.

4. Violations of the Conflicts of Interest Policy:

- a.** If the governing board or committee has reasonable cause to believe a member has failed to disclose actual or possible conflicts of interest, it shall inform the member of the basis for such belief and afford the member an opportunity to explain the alleged failure to disclose.
- b.** If, after hearing the member's response and after making further investigation as warranted by the circumstances, the governing board or committee determines the member has failed to disclose an actual or possible conflict of interest, it shall take appropriate disciplinary and corrective action.

Attachment #5

RIVER OF GRASS UNITARIAN UNIVERSALIST CONGREGATION
Capital Foundation, Inc

EIN: 275286663

Northstar Financial Planners, Inc.
278 S. University Drive
Plantation, FL 33324

Investment Advisory Agreement

This Investment Advisory Agreement, the ("Agreement"), dated as of May 21, 2011, is by and between Northstar Financial Planners, Inc. ("Adviser"), an investment adviser registered with the Securities and Exchange Commission, and River of Grass Unitarian Universalist Congregation Capital Fund, Inc. ("Client").

1. Services of Adviser

By execution of this Agreement, Client hereby establishes an Investment Advisory Account ("Account") and appoints Adviser as the investment manager to supervise and direct the investments of the Account on a discretionary basis in accordance with the Client's stated objectives and financial goals. The custodian holding the Account will provide to Client, at least quarterly, a written statement of investments in the Account. The statement will show beginning and ending portfolio values including performance results for the quarter, contributions and withdrawals from the Account by the Client and advisory fees and other expenses deducted from the Account during the quarter.

2. Standard of Care

In providing such services, it is agreed that except for negligence, malfeasance or violation of applicable law, neither Adviser nor any of its officers, directors or employees shall be liable for any action performed or for any errors of judgment made in good faith in managing client's account(s) under this Agreement. However, the State Securities Laws and Federal Securities Laws impose liabilities under certain circumstances and therefore nothing contained in this Agreement with respect to liabilities should be construed as limiting a client's rights which he/she may have under applicable State Securities Laws and/or Federal Securities Laws.

3. Representations

It is understood by the client that the investment adviser is a Florida corporation company registered as an investment adviser *with all of the appropriate regulatory jurisdictions* and that the investment adviser has fully complied with The Investment Advisers Act of 1940, 15 U.S.C. Section 80b-1 et. seq., and with any and all other regulatory provisions and requirements, both state and/or local.

4. Custody

The Account will be placed with a registered broker/dealer or bank, which will serve as the custodian for funds and securities held in the Account. At no time will Adviser willfully or intentionally exercise custody or have access to such assets.

5. Confidential Relationship

All information and advice furnished by either party to the other, including their respective agents and employees, shall be treated as confidential and shall not be disclosed to third parties, except as required by law or necessary to carry out designated powers or as granted by the Client.

6. Service to Other Clients

It is understood that Adviser performs investment advisory services for other clients. Client agrees that Adviser may give advice and take action with respect to any of its other clients, which may differ from the advice given or the timing or nature of action taken with respect to the Client's Account, so long as it is Adviser's policy to the extent practical, to allocate investment opportunities to the Account over a period of time on a fair and equitable basis relative to other clients. It is understood that Adviser has no obligation to disclose to Client the purchase or sale of any security which Adviser, its principals, affiliates, or employees may purchase or sell for its or their own account or for the accounts of any other client.

7. Proxies and Class Action Lawsuits

Adviser will not be required to take any action or render any advice with respect to voting of proxies solicited by or with respect to the issuers of securities in which assets of the Account may be invested from time to time. In addition, Adviser will not take any action or render any advice with respect to any securities held in the Account, which are named in or subject to class action lawsuits. Adviser will, however, forward to Client any information received by Adviser regarding class action legal matters involving any security held in the Account.

8. Fees

The compensation of Adviser for its services under this Agreement shall be calculated and paid in accordance with the attached Schedule of Fees, which may be amended from time to time by Adviser. Fees will be paid quarterly in advance based on the value of the account on the last day of the prior quarter. The fee is pro rated for a partial quarter. Payment of fees will be made through a quarterly debit to client's account by the custodian bank or broker/dealer. However, advisory fees may be billed directly to the Client if so requested in writing by the Client.

The Custodian will deduct from your account and pay our fees each quarter after we submit a bill to the Custodian.

You wish to be billed directly by us and will pay our fees within 30 days of receiving our bill.

By reason of the terms and provisions of *applicable securities laws and regulations*, no fee due Adviser may be based in any manner or respect on capital gains or capital appreciation of assets held by the client.

9. Fee Disclosure

Sample performance figures presented to the Client during consultation may have been gross of investment advisory fees. The client's actual portfolio return will be reduced by advisory fees as described in Part II of Form ADV, and other expenses incurred in the management of its investment advisory account. As a sample of how advisory fees could impact a portfolio, an initial investment of \$250,000 which grows by 8% per year for 10 years would grow to \$480,418 if an annual 1.25% management fee is paid from the account. The same portfolio would grow to \$539,731 if no management fee were paid.

10. Valuation

Adviser will value the securities in your account that are listed on a national securities exchange or on NASDAQ at the closing price, on the valuation date, on the principal market where the securities are traded. Adviser will value other securities or investments in your account in a manner that Adviser believes in good faith reflects their fair market value.

11. Investment Objectives and Restrictions

Client acknowledges that Adviser will rely on the personal and investment information provided to Adviser by the Client in managing the Account. Client agrees to give Adviser prompt written notice of any modifications, changes or investment restrictions applicable to the Account and to notify Adviser if Client deems any investments recommended or made for the Account to be in violation of such investment objectives or restrictions. Unless Client promptly notifies Adviser in writing of specific investment restrictions on the Account, the investments recommended for or made on behalf of the Account shall be deemed to be in conformity with Client's investment objectives. Although tax considerations are not generally a factor in managing accounts, it is the Client's responsibility to notify Adviser if such considerations are relevant to the Client's overall financial circumstances.

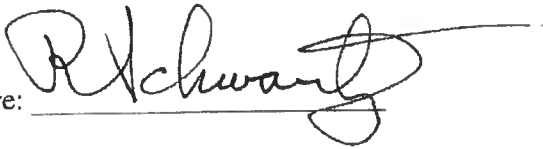
12. Termination of Agreement

This Agreement may not be modified or amended except in writing and signed by both Adviser and Client. Adviser or Client may terminate the Agreement within five days of the date of acceptance without penalty to the client. After the five-day period, either party may terminate the Agreement on 30 days written notice to the other party. Upon termination, any prepaid fees will be prorated to the date of termination and any *unearned* portion thereof will be refunded to the Client.

Northstar Financial Planners, Inc.
278 S. University Drive
Plantation, FL 33324

Schedule of Portfolio Management Fees

Assets Under Management	Annualized Fee	Quarterly Fee
First \$2,000,000	0.75%	0.1875%
\$2,000,000 and over	0.50%	0.1250%

Client Signature: 

Client Signature: _____

**River of Grass Unitarian Universalist Congregation Capital Foundation, Inc. FEIN: 27-5286663
Form 1023 – Supplemental Information**

Attachment 6 Bond and Stock Mutual Funds (from Part IX,b,4-7)

B. Balance Sheet Detail (Most Recently Completed Tax Year)

Cash:

FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	\$4,717.93
Brightstar Credit Union	1,574.05
	\$6,291.98

Mutual Funds – Stock:

DIMENSIONAL FUND ADVISORS INTL SUSTAINABILITY CORE 1 INST DFSPX	\$66,069.47
DIMENSIONAL FUND ADVISORS US SUSTAINABILITY CORE 1 INST DFSIX	190,929.68
DIMENSIONAL FUND ADVISORS EMRG MKTS CORE EQTY INST DFCEX	32,135.61
DIMENSIONAL FUND ADVISORS REAL ESTATE SEC INST DFREX	31,406.55
	\$320,541.31

Mutual Funds – Bonds:

DIMENSIONAL FUND ADVISORS FIVE-YEAR GLOBAL FIXED INC INST DFGBX	\$67,619.83
DIMENSIONAL FUND ADVISORS INVMT GRADE INST DFAPX	121,594.39
GUGGENHEIM/RYDEX TOTAL RET BOND INST GIBIX	64,875.79
PIMCO INVESTMENTS SHORT TERM INST PTSHX	66,418.74
PIMCO INVESTMENTS LOW DURATION INCOME INST PFIIX	65,739.83
PIMCO INVESTMENTS INVMT GRADE CREDIT BOND INST PIGIX	64,205.52
	\$450,454.19

Grand Total: \$777,287.39

River of Grass Unitarian Universalist Congregation Capital Foundation, Inc.

FEIN: 27-5286663

Form 1023 – Supplemental Information

Attachment 7 5-Year Statement of Revenue and Expenses (from Part IX, a)

A. Statement of Revenues and Expenses

Type of Revenue or Expense	2019	2018	2017	2016	2015	Total 2015-2020
1 Gifts	\$1,350.46	\$73.00	\$161.25	\$61.25	\$61.25	\$1,707.21
2 Membership Fees						
3 Gross Investment Income	\$19,008.57	\$19,630.37	\$15,231.99	\$14,129.43	\$13,644.10	\$81,644.46
4 Net Unrelated Business Income						
5 Taxes Levied						
6 Values Furnished Values						
7 Any Otherwise Revenue						
8 Total Lines 1-7	\$20,359.03	\$19,703.37	\$15,393.24	\$14,190.68	\$13,705.35	\$83,351.67
9 Admissions Receipts						
10 Total Lines 8 and 9	\$20,359.03	\$19,703.37	\$15,393.24	\$14,190.68	\$13,705.35	\$83,351.67
11 Net gain/loss on capital assets						
12 Unusual Grants						
13 Total Revenue, add 10-12	\$20,359.03	\$19,703.37	\$15,393.24	\$14,190.68	\$13,705.35	\$83,351.67
14 Fundraising Expenses						
15 Contributions paid out						
16 Disbursements (State Fees)	\$113.75	\$105.00	\$61.25	\$61.25	\$61.25	\$402.50
17 Compensation of Officers, etc						
18 Other salaries and wages						
19 Interest Expense						
20 Occupancy						
21 Depreciation						
22 Professional Fees	\$5,421.07	\$5,294.67	\$5,173.02	\$4,739.11	\$4,665.26	\$25,293.13
23 Other expenses						
24 Total Expenses, lines 14-21	\$5,534.82	\$5,399.67	\$5,234.27	\$4,800.36	\$4,726.51	\$25,695.63

River of Grass Unitarian Universalist Congregation Capital Foundation, Inc. FEIN: 27-5286663

Form 1023 – Supplemental Information

Attachment 8

Sunday Bulletins {a.k.a. Orders of Service} & Newsletters

(from Part IV)